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5-20-03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application Of:

Barton P. BARRY, et al.

Application Number: 09/391,460

Confirmation Number: 8639

Filed: September 8, 1999

For: Financial Advice and Strategy  
System

Group Art Unit: 3628

Examiner: Thach H. BUI

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MAY 14 2003

**GROUP 3600**

AMENDMENT AND RESPONSE UNDER 37 C.F.R. § 1.116

Mail Stop AF  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This reply is responsive to the final Office action mailed February 12, 2002 (Office action). Any fees that may be due may be charged to Deposit Account No. 50-0206. Reconsideration of the finality of the rejection in the Office action is requested. Applicants request entry of the following amendments to the claims. Reconsideration and allowance of the above captioned application is requested in view of the following remarks. Claims 1-16 remain pending in this application. Applicants propose amendments to claims 3, 9, and 14. The Office action includes rejections of claims 1-16 under 35 U.S.C. § 103(a). Applicants fully respond to these rejections as follows.